

TRANSMITTAL FORM

Application Serial Number	09/328,749
Filing Date	June 9, 1999
First Named Inventor	Gebhard
Group Art Unit	3728
Examiner Name	A. Stashick
Attorney Docket No.	ADI-005
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable



ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final

<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input checked="" type="checkbox"/> Petition for Extension of Time

<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Form PTO-1449
<input type="checkbox"/> Copies of IDS Citations

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Associate Power of Attorney

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard

<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|--|---|---|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

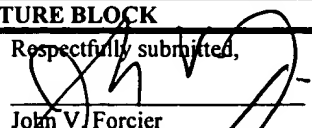
SIGNATURE BLOCK

Date: May 25, 2001
 Reg. No. 42,545
 Tel. No.: (617) 248-7675
 Fax No.: (617) 248-7100

Respectfully submitted,

John V. Forcier
 John V. Forcier
 Attorney for Applicant
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

FEE TRANSMITTAL OFFICE OF THE COMPTROLLER MAY 29 2001	Complete Known		
	Application Serial Number	09/328,749	
	Filing Date	June 9, 1999	
	First Named Inventor	Gebhard	
	Group Art Unit	3728	
	Examiner Name	A. Stashick	
		Attorney Docket No.	ADI-005

METHOD OF PAYMENT 1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other 2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input type="checkbox"/> Applicant claims small entity status.	FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 15%;">Small Entity Fee (\$)</th> <th style="width: 50%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or cover sheet</td> <td></td> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>2,520</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>390</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>890</td> <td>445</td> <td>Extension for reply within third month</td> <td>890.00</td> </tr> <tr> <td>1,390</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1,890</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>270</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional Applications</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>For each additional invention to be Examined (37 CFR 1.129(b))</td> <td></td> </tr> </tbody> </table> <p>Other fee (Specify) _____</p>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or cover sheet		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month	890.00	1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional Applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be Examined (37 CFR 1.129(b))																									
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																														
130	65	Surcharge - late filing fee or cover sheet																																																																																															
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																															
130	130	Non-English specification																																																																																															
2,520	2,520	For filing a request for reexamination																																																																																															
110	55	Extension for reply within first month																																																																																															
390	195	Extension for reply within second month																																																																																															
890	445	Extension for reply within third month	890.00																																																																																														
1,390	695	Extension for reply within fourth month																																																																																															
1,890	945	Extension for reply within fifth month																																																																																															
310	155	Notice of Appeal																																																																																															
310	155	Filing a brief in support of an appeal																																																																																															
270	135	Request for oral hearing																																																																																															
130	130	Petitions to the Commissioner																																																																																															
50	50	Petitions related to provisional Applications																																																																																															
180	180	Submission of Information Disclosure Statement																																																																																															
710	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																															
710	355	For each additional invention to be Examined (37 CFR 1.129(b))																																																																																															
FEE CALCULATION 1. FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 50%;">Fee Description</th> <th style="width: 35%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>710</td> <td>Utility filing fee</td> <td></td> </tr> <tr> <td>320</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>150</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Total Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 15%;">Number Extra</th> <th style="width: 15%;">Rate</th> <th style="width: 40%;">Amount</th> </tr> </thead> <tbody> <tr> <td></td> <td>- 20 =</td> <td></td> <td>x \$ 18.00 =</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>- 3 =</td> <td></td> <td>x \$ 80.00 =</td> <td></td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Multiple Dependent Claim(s), if any </td> <td>\$270.00 =</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL:</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SMALL ENTITY DISCOUNT:</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$) 0.00</td> </tr> </tbody> </table> 2. AMENDMENT CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Claims Remaining After Amend.</th> <th style="width: 15%;">Highest No. Previously Paid For</th> <th style="width: 15%;">Present Extra</th> <th style="width: 15%;">Rate</th> <th style="width: 40%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>-</td> <td>=</td> <td>x \$ 18.00 =</td> <td></td> </tr> <tr> <td>Indep.</td> <td>-</td> <td>=</td> <td>x \$ 80.00 =</td> <td></td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> First Presentation of Multiple Dep. Claim </td> <td>+ \$270.00 =</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL:</td> <td>(\$)</td> </tr> <tr> <td colspan="4" style="text-align: right;">SMALL ENTITY DISCOUNT:</td> <td>(\$)</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$) 0.00</td> </tr> </tbody> </table>	Large Entity Fee (\$)	Fee Description	Fee Paid	710	Utility filing fee		320	Design filing fee		150	Provisional filing fee		Total Claims	Number Filed	Number Extra	Rate	Amount		- 20 =		x \$ 18.00 =		Independent Claims	- 3 =		x \$ 80.00 =		<input type="checkbox"/> Multiple Dependent Claim(s), if any				\$270.00 =	TOTAL:					SMALL ENTITY DISCOUNT:					SUBTOTAL (1)				(\$) 0.00	Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid	Total	-	=	x \$ 18.00 =		Indep.	-	=	x \$ 80.00 =		<input type="checkbox"/> First Presentation of Multiple Dep. Claim				+ \$270.00 =	TOTAL:				(\$)	SMALL ENTITY DISCOUNT:				(\$)	SUBTOTAL (2)				(\$) 0.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">SUBTOTAL (3)</td> <td style="width: 40%;">(\$) 890.00</td> </tr> <tr> <td colspan="2" style="height: 20px;"> </td> </tr> <tr> <td>SUBTOTAL (1)</td> <td>0.00</td> </tr> <tr> <td>SUBTOTAL (2)</td> <td>0.00</td> </tr> <tr> <td>SUBTOTAL (3)</td> <td>890.00</td> </tr> <tr> <td colspan="2" style="height: 20px;"> </td> </tr> <tr> <td>TOTAL</td> <td>(\$) 890.00</td> </tr> </table>	SUBTOTAL (3)	(\$) 890.00			SUBTOTAL (1)	0.00	SUBTOTAL (2)	0.00	SUBTOTAL (3)	890.00			TOTAL	(\$) 890.00
Large Entity Fee (\$)	Fee Description	Fee Paid																																																																																															
710	Utility filing fee																																																																																																
320	Design filing fee																																																																																																
150	Provisional filing fee																																																																																																
Total Claims	Number Filed	Number Extra	Rate	Amount																																																																																													
	- 20 =		x \$ 18.00 =																																																																																														
Independent Claims	- 3 =		x \$ 80.00 =																																																																																														
<input type="checkbox"/> Multiple Dependent Claim(s), if any				\$270.00 =																																																																																													
TOTAL:																																																																																																	
SMALL ENTITY DISCOUNT:																																																																																																	
SUBTOTAL (1)				(\$) 0.00																																																																																													
Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid																																																																																													
Total	-	=	x \$ 18.00 =																																																																																														
Indep.	-	=	x \$ 80.00 =																																																																																														
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				+ \$270.00 =																																																																																													
TOTAL:				(\$)																																																																																													
SMALL ENTITY DISCOUNT:				(\$)																																																																																													
SUBTOTAL (2)				(\$) 0.00																																																																																													
SUBTOTAL (3)	(\$) 890.00																																																																																																
SUBTOTAL (1)	0.00																																																																																																
SUBTOTAL (2)	0.00																																																																																																
SUBTOTAL (3)	890.00																																																																																																
TOTAL	(\$) 890.00																																																																																																
CORRESPONDENCE ADDRESS Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	SIGNATURE BLOCK Respectfully submitted,  Date: May 25, 2001 Reg. No.: 42,545 Tel. No.: (617) 248-7675 Fax No.: (617) 248-7100 John V. Forcier Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																																



3728
\$

RECEIVED
JUN -1 2001
TECHNOLOGY CENTER

PATENT
Attorney Docket No. ADI-005
(257/10)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gebhard
SERIAL NO.: 09/328,749 GROUP NO.: 3728
FILING DATE: June 9, 1999 EXAMINER: A. Stashick
TITLE: Torsion System for an Article of Footwear

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on this day 25th of May, 2001.


John V. Forcier

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal Form (1 pg);
3. check in the amount of \$890.00;
4. Petition for Extension of Time (1 pg); and a
5. Return receipt postcard